Lunchtime Data Talk

Counting Households and the Housing Stock with AHS, CPS, ACS and HVS

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American Housing Survey

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The views expressed are those of the presenter and not necessarily those of the U.S. Department of Housing and Urban Development or the U.S. Government.
Overview

What

What is the American Housing Survey?

Why

Why do we conduct the survey?

When

When do we conduct the survey?

How

How do we conduct the survey?

Where

Where do we conduct the survey?

Who

Who do we interview?

Questions

What questions do we ask?

Users

Who uses the survey?

Products

What data products do we produce?

2015

What is happening in 2015?

Learn more
What is the American Housing Survey?

Survey of the housing units in the United States
What is the American Housing Survey?

- Sponsored by the U.S. Department of Housing and Urban Development
- Conducted by the U.S. Census Bureau
Why do we conduct the survey?

**AHS Mission Statement:** To supply the public with detailed and timely information about...

- housing quality,
- housing costs, and
- neighborhood assets,

...in support of effective housing policy, programs, and markets.
Why do we conduct the survey?

**Quality**
- Adequate
- Healthy
- Safe

**Accessibility**

**Resiliency**
Why do we conduct the survey?

Cost and Affordability

- Rent
- Mortgage payments
- Utilities
- Maintenance
- Taxes
- Fees
- Insurance
- Can the family budget stretch to cover the costs?
Why do we conduct the survey?

Neighborhood Assets

- Physical
  - Access to public transportation
  - Walkability
  - Other amenities

- Social
  - Cohesiveness
  - Safety
When do we conduct the survey?

- Survey began in 1973
- Every other year, in odd years (2011, 2013, 2015...)
- Data collection in typically conducted during summer
How do we collect data?

- Census Bureau Field Representatives conduct interviews, aided by laptop computers (computer assisted personal interviewing)
- Telephone or in person
- Participation is voluntary
Where do we collect data?

- National Sample: core sample of 65,000 housing units
- Metropolitan Area Samples
  - 60 metropolitan areas total
  - 30 areas in each survey year
  - 4,000 housing units per area
Where do we collect data?

- Returns to the same housing units as in previous surveys ("longitudinal")

- New units added to the sample, to keep coverage current
Who do we interview?

- Housing unit residents
  - Owners
  - Renters
  - Other adult residents

- “Knowledgeable person” for vacant units
  - Non-occupant Owner
  - Rental Manager
  - Neighbor
What questions do we ask?

Core data (every survey)

- The outside of the home
- The inside of the home
- Who lives in the home
- How recent movers came to live there
What questions do we ask?

Core data (every survey)

- Housing costs, value, and mortgage
  - Rent
  - Mortgage payments and characteristics
  - Utility costs
  - Fees
  - Taxes
  - Value

- Income amounts and sources
What questions do we ask?

Core data (always collected)
- Equipment—heating, cooling, major appliances
What questions do we ask?

Core data (always collected)
- What goes wrong: Structural problems, equipment failures
- Fixing and improving: Major repairs and remodeling
What questions do we ask?

Topical Modules: 2011
- Healthy homes and safety
- Accessibility features

Topical Modules: 2013
- Doubled-up households
- Disaster preparedness
- Neighborhood social capital
- Neighborhood characteristics
- Public transportation and walkability
What data products are available?

- Census Bureau Gateway: [http://www.census.gov/programs-surveys/ahs/](http://www.census.gov/programs-surveys/ahs/)

- HUD AHS [http://www.huduser.org/portal/datasets/ahs.html](http://www.huduser.org/portal/datasets/ahs.html)
What data products are available?

- Summary Data Tables
- Microdata
- Factsheets
- Research
What data products are available?

Summary Data Tables

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Total renter-occupied units</th>
<th>New construction</th>
<th>Manufactured/mobile homes</th>
<th>Household characteristics</th>
<th>Elderly (65 years and over)</th>
<th>Below poverty level</th>
<th>Regions</th>
<th>Inside MSA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lot Size</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1-unit structures(^1)</td>
<td>14,677</td>
<td>203</td>
<td>1,508</td>
<td>2,575</td>
<td>2,538</td>
<td>1,450</td>
<td>3,928</td>
<td>1,596</td>
</tr>
<tr>
<td>Less than 1/8 acre</td>
<td>3,614</td>
<td>57</td>
<td>393</td>
<td>740</td>
<td>811</td>
<td>361</td>
<td>1,026</td>
<td>463</td>
</tr>
<tr>
<td>1/8 up to 1/4 acre</td>
<td>4,389</td>
<td>57</td>
<td>335</td>
<td>845</td>
<td>920</td>
<td>425</td>
<td>1,228</td>
<td>337</td>
</tr>
<tr>
<td>1/4 up to 1/2 acre</td>
<td>2,151</td>
<td>30</td>
<td>117</td>
<td>408</td>
<td>336</td>
<td>195</td>
<td>522</td>
<td>220</td>
</tr>
<tr>
<td>1/2 up to 1 acre</td>
<td>1,387</td>
<td>15</td>
<td>147</td>
<td>212</td>
<td>172</td>
<td>126</td>
<td>346</td>
<td>190</td>
</tr>
<tr>
<td>1 up to 5 acres</td>
<td>2,433</td>
<td>19</td>
<td>386</td>
<td>313</td>
<td>222</td>
<td>262</td>
<td>622</td>
<td>295</td>
</tr>
<tr>
<td>5 up to 10 acres</td>
<td>259</td>
<td>8</td>
<td>70</td>
<td>15</td>
<td>32</td>
<td>32</td>
<td>71</td>
<td>34</td>
</tr>
<tr>
<td>10 acres or more</td>
<td>444</td>
<td>16</td>
<td>50</td>
<td>42</td>
<td>46</td>
<td>47</td>
<td>113</td>
<td>58</td>
</tr>
<tr>
<td>Median (acres)</td>
<td>0.19</td>
<td>0.19</td>
<td>0.25</td>
<td>0.13</td>
<td>0.13</td>
<td>0.19</td>
<td>0.16</td>
<td>0.24</td>
</tr>
</tbody>
</table>

\(^1\) Not applicable; \(^2\) represents or rounds to zero.
What data products are available?

Microdata

- **Public Use File (PUF) microdata**
- **Specialized microdata datasets**
  - Housing Affordability Data System
  - Components of Inventory Change
- **Research Data Center (RDC) access**
  - Non-public information
What data products are available?

Factsheets
What other resources are available?

AHS-Based Research Papers and Reports.
Who uses the AHS?

- HUD and other Federal agencies
- Industry and trade associations
- Local governments
- Non-profits and advocacy groups
- Academics
What is happening in 2015?

• Drawing a new sample
• Redesigning the survey instrument
• Revised set of metropolitan supplements
• Improved documentation
• Improved Census AHS web site
How can I learn more?

Contact HUD

- Dav Vandenbroucke
- David.A.Vandenbroucke@hud.gov
- 202-402-5890
- 451 7th Street SW, Room 8222
  Washington, DC 20410
Household Estimates Conundrum

Effort to Develop More Consistent Estimates Across Current Surveys

Arthur R Cresce, Yang Cheng and Christopher Grieves
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The views expressed in this paper are solely attributable to the authors and do not necessarily reflect the position of the United States Census Bureau.
Outline of Presentation

• Importance of household concept to both population and housing statistics
• Conundrum posed by inconsistent household estimates
• Possible sources of inconsistency
• Research to address main source of differences – estimation
• Additional research that must be done
What Is a Household?

Defined consistently across surveys:

“A household includes all of the people who occupy a housing unit.”

Households can contain families, one person, only unrelated individuals, or combinations of families and unrelated individuals.
Important First Concept

Housing Unit Universe

- Owner Occupied
- Renter Occupied

Population Universe

- Family Householders
- Nonfamily Householders

Occupied Housing Units = Households
The Conundrum

• Definition of a household same across household surveys and the decennial census

BUT

• Household estimates differ, sometimes substantially, across surveys

AND

• Sometimes estimates are not always consistent within same survey
Brief Description of Household Surveys Used in this Paper

• **Basic CPS** – Monthly labor force survey with occasional supplements (e.g., fertility, school enrollment)

• **CPS/ASEC** – Current Population Survey Annual Social and Economic Supplement – conducted over a 3-month period – produces key income and poverty data as well as household and family estimates

• **CPS/HVS** – Current Population Survey Housing Vacancy Survey – conducted each month with results based on quarterly averages – produces economic indicator data on housing vacancy

• **ACS** – American Community Survey – conducted continuously with results presented on an annual basis

• **AHS** – American Housing Survey – conducted in odd-numbered years
Data Shown Graphically from Table 1 of Paper
What Is the Source of These Differences?

• Estimation

• Sample Frame

• Operational
Estimation

• Interdivisional working group (early 2000s) – looked at use of housing unit controls instead of population controls for CPS Housing Vacancy Survey

• Key finding – weight adjustment using population controls (by age, sex, race, and Hispanic origin) tend to produce higher estimates of households (occupied housing units) than weight adjustment using housing unit controls
Example of Impact of Using Housing Unit Controls vs Population Controls

Comparison of CPS Housing Vacancy Survey Estimates Based on Population-Based vs Housing-Based Weights

(Numbers in thousands)

<table>
<thead>
<tr>
<th></th>
<th>2002 - Housing Based</th>
<th>2002 – Pop Based</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Housing</td>
<td>119,297</td>
<td>123,318</td>
</tr>
<tr>
<td>Occupied</td>
<td>104,965</td>
<td>108,539</td>
</tr>
<tr>
<td>Vacant</td>
<td>14,332</td>
<td>14,779</td>
</tr>
</tbody>
</table>
Problem Posed by Use of Housing Unit Controls for CPS/HVS

• Provides differing estimates of households for the same survey (CPS/HVS (housing unit based) vs CPS/ASEC (population based))

• Two other household surveys have dealt with this problem
  • New York City Housing and Vacancy Survey
  • American Community Survey
Sample Frame Differences

• Know from research on differences between 2010 ACS 1-year estimates and 2010 Census – both based on Master Address File (MAF) but different versions

• CPS – current sample based on 2000 census results and updates from new construction

• American Housing Survey – longitudinal survey 1985 sample based originally on 1980 census and updated for new construction

• All of these surveys will be based on the MAF within the next two years
Operational Differences

• Different methods of identifying occupancy status
  • ACS method vs CPS/HVS and AHS

• Possible different application of rules by field representatives for identifying occupancy status
Addressing Estimation Issues: Focus on Basic CPS Weighting Procedure

• Current stages of CPS weighting
  1. Non-interview Adjustment
  2. First Stage Ratio Adjustment (Adjustment to Persons in Non-Self Representing PSU’s)
  3. National Coverage Adjustment (Ratio Adjustment to Population Controls for Race/Age/Sex Classifications)
  4. State Coverage Adjustment (Ratio Adjustment to Population Controls for State/Black, Non-Black/Age Classifications)
  5. Second Stage Raking Ratio Adjustment (3-Way Raking to Pop Controls for State/Ethnicity/Race)
  6. Composite Weighting (Rakes weights to sum to composite estimates)

• Note that there is no use of housing unit controls in current CPS weighting
Current Research on Basic CPS Estimation: Assumptions and Initial Determinations

- Total housing unit controls are created by the U.S. Census Bureau’s Population Division
- The number of occupied housing units is unknown and must be estimated
- By definition, there can only be one householder per household i.e., Households = Householders
- Current research indicates:
  - If we benchmark to housing controls in an early stage of weighting, the adjustment gets washed out by population controls in later stages.
  - If we benchmark to housing controls after population controls, we obtain HU estimates more consistent with HVS, but causes benchmarks to population control constraints to fall out of kilter.
- It is important that we constrain to pop controls and housing controls at the same time.
Current Research on Basic CPS Estimation: Initial Approach

- New Idea: Include New Weighting Step and Revised Second Stage
  - Keep steps 1-4 of basic CPS estimation procedure
  - Create estimate of occupied housing units (householders) using survey results applied to housing unit controls
  - Create estimate of “non-householders” by subtracting the new estimate of householders from population controls
  - New Second Stage Ratio Adjustment (Revised Step 5)
    - Rake householders to a margin containing the estimate of the number of householders from previous step
    - Rake residual of the population to the estimate of non-householders from previous stage.
    - Other margins from current weighting procedure remain intact.

- This procedure will give estimates of number of households more consistent for HVS and ASEC, but research must be done on the impact on statistics produced from these surveys
Next Stage of Research

- Include family equalization into estimation procedure
- Investigate impact of adjusting the householder’s and non-householder’s weights to achieve goal
  - “Basic” CPS – labor force estimates
  - CPS/ASEC:
    - Number of households
    - Household income
    - Poverty rate
  - CPS/HVS:
    - Rental Vacancy Rate
    - Homeowner Vacancy Rate
    - Homeownership Rate
- Work with sponsors, especially BLS, and other stakeholders to assess these impacts
Additional Research

- Compare methodology chosen with those used for the ACS and NYCHVS and develop feasibility of developing a common methodology
- Apply this same methodology to the Survey of Income and Program Participation (SIPP) and assess impact of methodology on key statistics produced by SIPP
- Ensure field procedures to identify occupancy status are consistently applied across surveys
Conclusion

- Successful implementation of new estimation methodology for CPS will address core issue of the conundrum
- Much more work to be done
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